





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/040,837

01/07/2002

Andrew C. Gilbert

CF-51

1473 FISH & NEAVE 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK, NY 10020-1105



CONFIRMATION NO. 2702
FORMALITIES LETTER
OC000000007430088

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.

Application No. : 10/040,837 Confirmation No.: 2702

Filed : January 7, 2002

For : METHODS AND SYSTEMS FOR PROVIDING

CROSSING MARKETS

Group Art Unit : 2161

New York, New York 10020

July 3, 2002

Box MISSING PARTS

Hon. Commissioner for Patents

P.O. Box 2327

Arlington, Virginia 22202

REPLY TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

In reply to the Notice To File Missing Parts of Nonprovisional Application mailed February 6, 2002, applicants hereby submit the following:

- A copy of the February 6, 2002 Notice To File
 Missing Parts Of Nonprovisional Application;
- A partially-executed Declaration And Power Of Attorney;
- 3. A Petition For Filing When An Inventor's Legal Representative Refuses To Sign Pursuant To 37 C.F.R. § 1.47 and a file copy of a January 15, 2002 letter to the legal representative;

- 4. A Last Known Address Of Nonsigning Legal Representative Of Deceased Inventor Pursuant to 37 C.F.R. § 1.47;
- 5. A check in the amount of \$ 130.00 in payment of the petition fee set forth in 37 C.F.R. § 1.17(h);
- 6. A check in the amount of \$ 130.00 in payment of the surcharge set forth in 37 C.F.R. § 1.16(e);
- 7. A Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a); and
- 8. A check in the amount of \$ 920.00 in payment of the 3-month extension fee set forth in 37 C.F.R. § 1.17(a)(3).

Please charge any underpayment, or credit any overpayment, in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

Joel Weiss

Registration No. 44,398 Attorney for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1105

(212) 596-9000

07-08-02

TO #3 MP#

PATENTS CF-51

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

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EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EV045437618US

Date of Deposit: July 3, 2002

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box MISSING PARTS, Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Enclosures:

REPLY TO NOTICE TO FILE MISSING PARTS (IN DUPLICATE) COPY OF FEBRUARY 6, 2002 NOTICE TO FILE MISSING PARTS PARTIALLY-EXECUTED DECLARATION AND POWER OF ATTORNEY PETITION FOR FILING WHEN AN INVENTOR'S LEGAL

REPRESENTATIVE REFUSES TO SIGN
COPY OF LETTER TO LEGAL REPRESENTATIVE
LAST KNOWN ADDRESS OF NONSIGNING LEGAL REPRESENTATIVE
PETITION FOR EXTENSION OF TIME (IN DUPLICATE)
CHECKS IN THE AMOUNT OF \$ 130.00, \$ 130.00, AND
\$ 920.00

RETURN POSTCARD



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants

Andrew C. Gilbert et al.

Application No.

: 10/040,837 Confirmation No.: 2702

Filed

January 7, 2002 :

For

METHODS AND SYSTEMS FOR PROVIDING

CROSSING MARKETS

Group Art Unit

2161

New York, New York 10020 July 3, 2002

Box MISSING PARTS

Hon. Commissioner for Patents

P.O. Box 2327

Arlington, Virginia 22202

LAST KNOWN ADDRESS OF NONSIGNING LEGAL REPRESENTATIVE OF DECEASED INVENTOR PURSUANT TO 37 C.F.R. § 1.47

| Full Name Of Deceased Inventor | Andrew C. Gilbert |
|--------------------------------|---------------------------|
| Legal Representative (Wife) | Mary Ann Gilbert |
| Last Known Address | 5 Scarlet Oak Road |
| | Califon, New Jersey 07830 |
| Last Known Post Office Address | SAME |

Respectfully submitted,

Joel Weiss (Reg. No. 44,398)

Attorney for Applicants

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